



February 5, 2010

Dear Market Participants and Interested Parties:

Re: Letter of Notice – Further Proposed Amendments to ISO Rules 10 Information Exchange:

- a) Specific amendments to ISO rules 10.9 Confidentiality (to be Part 100, Division 103 Administration, Section 103.1 Confidentiality);**
- b) Removal of Obsolete Existing Appendix 5 of ISO Rules; and**
- c) Confirmation of Removal of Obsolete Existing ISO rules Sections 10.1 to 10.9**

Pursuant to Section 2(1) of the Alberta Utilities Commission (“Commission”) Rule 017, the Alberta Electric System Operator (“AESO”) is providing notice and seeking feedback on the certain additional drafting amendments to proposed new Section 103.1 Confidentiality and the removal of an obsolete ISO rules Appendix 5 which lists certain obligations of the AESO with respect to certain reports and information. The AESO also will proceed to remove obsolete existing Sections 10.1 through 10.9 under ISO rules 10 Information Exchange.

[Click here](#) to view a copy of proposed new Section 103.1 Confidentiality provisions containing the amended rules text differences from the version contained in the original October 8, 2009 Letter of Notice. [Click here](#) to view a copy of existing ISO rules - Appendix 5 being proposed for removal. [Click here](#) to review existing ISO rules Sections 10.1 through 10.9 being proposed for removal.

Initial ISO Rules Section 10 Consultation

On October 8, 2009, and as part of the Transition of Authoritative Documents project (“TOAD”), the AESO posted a [Letter of Notice](#) and commenced consultation on proposed amendments to ISO rules Section 10.9 Confidentiality, now to be new Section 103.1 in the new ISO rules framework, as well as the deletion of the obsolete Sections 10.1 through 10.9 of ISO rule 10 under the current ISO rules framework.

[Click here](#) to view a copy of the proposed new Section 103.1 issued for consultation on October 8, 2009. [Click here](#) to view a copy of stakeholder comments and AESO replies matrix resulting from the consultation that was provided in the [Final Proposed](#) letter posted on November 24, 2009.

Re-consultation

a) New ISO Rules Section 103.1 Confidentiality

The AESO only received substantive comments on new ISO rules Section 103.1, and has agreed with many of them. The AESO believes that, while the newly proposed changes to Section 103.1 language are aligned with stakeholder comments, the changes are significant enough to warrant re-consultation.



b) Removal of ISO Rules Sections 10.1 to 10.9, and Appendix 5

As indicated in the AESO Rationale document for the Amendment to existing ISO Rules 10 attached to the October 8, 2009 Letter of Notice, the AESO is proceeding to remove the ISO rules that have obsolete obligations requiring the AESO to maintain and publish certain reports and information. These obligations currently appear in Sections 10.1 through 10.9 of ISO rules 10. The stakeholder comments universally were supportive of the removal of these obsolete sections and the AESO will proceed with their removal.

In addition the AESO has identified for removal Appendix 5 *Information to be Provided through the ISO*, as currently the AESO publishes all contemporary reports on its website.

c) Net ISO Rules Framework Changes

The net result upon filing with the Commission will be that only new Section 103.1 Confidentiality will appear as a newly reformed ISO rule in the new ISO rules framework. However, stakeholder are reminded that existing Section 10.10 Load Outage Reporting will remain in the existing ISO rules framework until it is transferred into a new ISO rule at some time at a later date under a separate TOAD initiative.

For ease of reference, the following Table is hyperlinked to provide assistance in directing stakeholders to the summary of the proposed ISO rule amendments and the attachments. The attached summaries provide more information on the proposed ISO rule amendments.

Rule Number	Description	Level	Most relevant stakeholder interest
103.1	Confidentiality	II	All Market Participants
Appendix 5	Information to be Provided Through the ISO	II	All Market Participants
10.1 – 10.9	Various Information and Reports	II	All Market Participants

Comments and suggestions on the proposed ISO rules amendments are encouraged. Please use the comment matrix provided when submitting comments to the AESO on the proposed ISO rules amendments. [Click here](#) to access the comment matrix for the proposed ISO rules Section 103.1 amendments. [Click here](#) to access the comment matrix for the proposed Appendix 5 removal. [Click here](#) to access the comment matrix for the proposed ISO rules Sections 10.1 through 10.19. Only written comments will be considered in finalizing the ISO rules amendments.

Please provide feedback or questions by **Friday, February 26, 2010** to the ***individual*** specified in the “AESO Contact section of the “Proposed LEVEL II ISO Rule Changes” below.

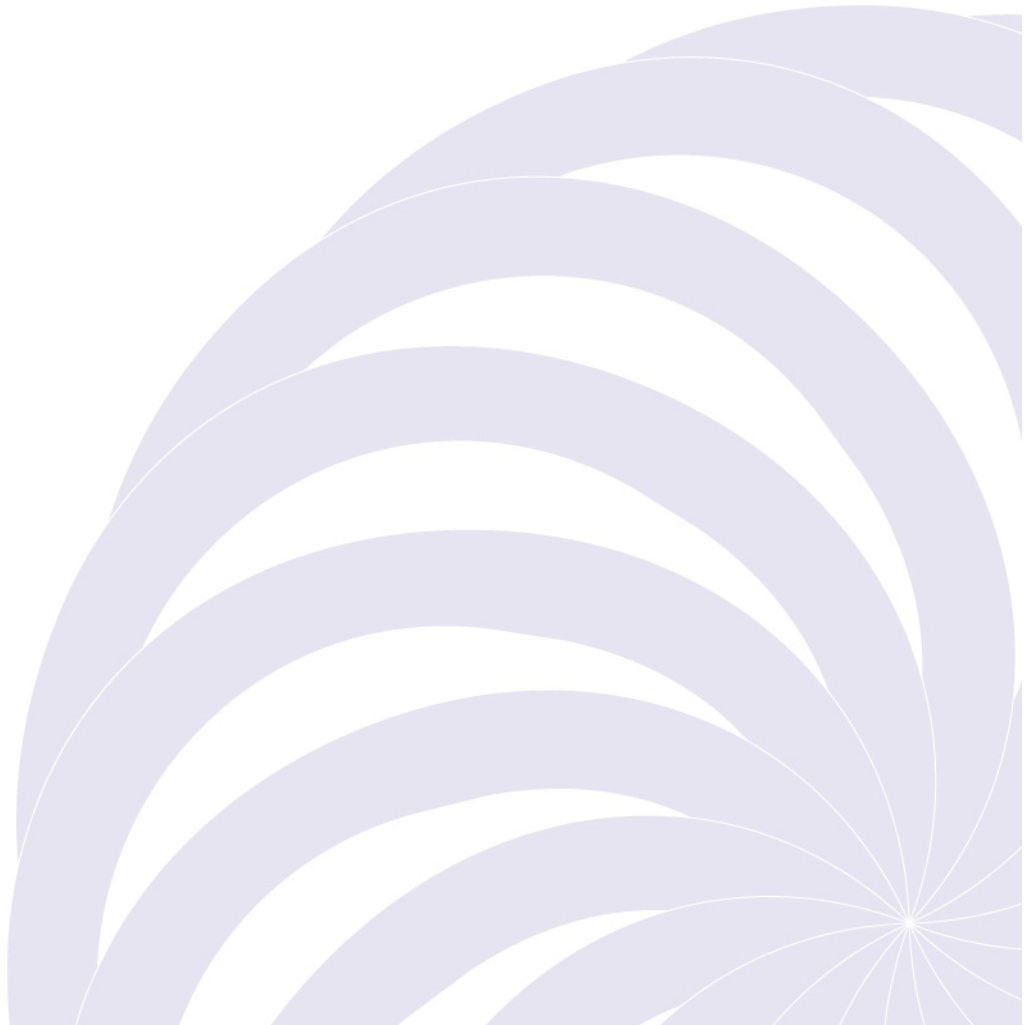


The AESO will be publishing all stakeholder comments received for industry review shortly after the comment deadline. Stakeholder comments received along with AESO responses will be published with the final proposed ISO rule amendments being recommended for internal AESO approval in March 2010.

Yours sincerely,

Original Signed By

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Proposed LEVEL II ISO Rule Changes

Level II changes are changes that are expected to have a moderate or low impact on the industry or the ISO, and generally require little or no effort by stakeholders for implementing a solution. They are provided to stakeholders to review to ensure that the expected impact is reasonable, to identify unrealized impacts and/or to provide notice of the potential change in administrative processes.

Revised and Amended Draft of ISO Rule Section 103.1 Confidentiality	
Rule Section/Number	Part 100, Division 103 Administration, Section 103.1 Confidentiality
Summary of ISO Rule	ISO rule Section 103.1
Summary of Additional ISO Rules Amendments or Modifications	<p>The following summarizes the key amended rules text differences to new ISO rules Section 103.1 from the version contained in the original October 8, 2009 Letter of Notice:</p> <ul style="list-style-type: none"> • To allow for the AESO to communicate with an affected market participant when it is taking the position certain information will not be treated as confidential; • To allow an affected market participant to communicate its concerns to the AESO when the AESO is taking the position certain information will not be treated as confidential.
Level	II
Explanation of Confidentiality	None
Proposed Effective Date	April 30, 2010
AESO Contact	Regulatory Services, Evelyn Kelly, evelyn.kelly@aeso.ca , (403) 539-2468



Attachments

See attached:

[Comparison and Rationale Document for Changes to ISO rules Section 103.1](#), including a blacklined copy of the proposed ISO rules Section 103.1 provisions.



ISO Rules Appendix 5 (Remove)

Rule Section/Number	Part 4, Appendix 5 Information to be provided through the ISO
Summary of ISO Rule	Appendix 5 summarizes information that will be published and made available through the AESO.
Reason for Removal	<p>The AESO has deemed Appendix 5 of the ISO rules to be an extension of ISO rule 10 Information Exchange. Appendix 5 is also not cross-referenced as a requirement in any other ISO rules. As such, in conjunction with the proposed restructuring of ISO rule 10, Appendix 5 is proposed to be removed from the ISO rules. The AESO has recently posted a complementary document to Appendix 5 on the AESO website:</p> <p>http://www.aeso.ca/downloads/Market_Reports_Jan_2010.pdf.</p>
Level	II
Explanation of Confidentiality	None
Proposed Effective Date	April 30, 2010
AESO Contact	Regulatory Services, Evelyn Kelly, evelyn.kelly@aeso.ca , (403) 539-2468
Attachments	<p>See attached:</p> <p>Rationale Document for Removal of Appendix 5, including a copy of existing Appendix 5 to be removed.</p>



ISO Rules Sections 10.1 through 10.9 (Remove)

Rule Section/Number	Part 2, ISO rule 10 Information Exchange 10.1 Information Disclosure Principles 10.2 Forecast Scheduling Period Data, Six Day Forecast 10.3 Day Ahead, Trading Day Forecast 10.4 Next Two Settlement Intervals Forecast 10.5 Settlement Interval Following 10.6 Trading Day History 10.7 ISO Records and Reports 10.8 Ancillary Services Information Provision 10.9 Confidentiality
Summary of ISO Rule	Various information and reports provided by the AESO
Reason for Removal	The AESO proposes to remove ISO rules Sections 10.1 through 10.9 as the list of reports referenced in these sections are not representative of the complete set of reports the AESO produces nor does the AESO believe it is practical or efficient to maintain a current list of reports in an ISO rule and utilize the regulatory process for maintaining the list of reports.
Level	II
Explanation of Confidentiality	None
Proposed Effective Date	April 30, 2010
AESO Contact	Regulatory Services, Evelyn Kelly, evelyn.kelly@aeso.ca , (403) 539-2468



Attachments

See attached:

[Rationale Document for Removal of ISO Rules Sections 10.1 – 10.9](#), including a copy of existing ISO rules Sections 10.1 through 10.9 to be removed.