



November 24, 2009

Dear Market Participants and Interested Parties:

**Re: Final Proposed Level II Changes to Existing ISO Rule 10 Information Exchange and ISO Rule 11 Dispute Resolution**

Please find attached the proposed changes to existing ISO rule 10 Information Exchange and ISO Rule 11 Dispute Resolution (“ISO Rule Changes”) being recommended for internal AESO approval in December 2009. The ISO Rule Changes were initially distributed for consultation on October 8, 2009.

The ISO Rule Changes are part of the Transition of Authoritative Documents project (“TOAD”) where the AESO is transitioning the ISO rules into a standard format which includes review and rewrite of the content to improve the clarity and consistency of the language, eliminate duplication or unnecessary content, and consolidate subject matter where the information is dispersed across several documents. Information on the TOAD project can be found on the AESO website at [www.aeso.ca](http://www.aeso.ca).

For ease of reference, the following grid is hyperlinked to provide assistance in directing stakeholders to the summary of the ISO Rule Changes and the attachments. The attached summary provides more information on the proposed ISO Rule Changes, including a brief explanation of the reason why they are needed.

<b>Rule Number</b>	<b>Description</b>	<b>Level</b>	<b>Most relevant stakeholder interest</b>
10	<a href="#">Information Exchange</a>	II	All Market Participants
11	<a href="#">Dispute Resolution</a>	II	All Market Participants

The AESO is required to provide responses to market participant comments pursuant to Section 8 of the Commission Rule 017. [Click here](#) to access the comment-replies matrix for the ISO Rules Changes. Please be advised that the ISO Rule Changes have been amended after considering comments received from market participants.

Pursuant to Section 9 of Commission Rule 017, please note the following:

1. The AESO will be filing the ISO Rule Changes with the Commission on December 9, 2009;



2. Market Participants, as defined in the *Electric Utilities Act* (“EUA”), may object to an ISO rule filed with the Commission within 10 days of the publication by the Commission of the notice of filing of the ISO rule, in accordance with Section 20.4 of the EUA; and
3. The ISO Rule Changes are proposed to be effective on January 5, 2009, in accordance with Section 20.3 of the EUA.

Yours sincerely,

*Original Signed By*

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## Final Proposed LEVEL II ISO Rule Changes

Level II changes are changes that are expected to have a moderate or low impact on the industry or the ISO, and generally require little or no effort by stakeholders for implementing a solution. They are provided to stakeholders to review to ensure that the expected impact is reasonable, to identify unrealized impacts and/or to provide notice of the potential change in administrative processes.

<b>ISO Rule 10 - Information Exchange</b>	
Rule Section/Number	ISO rule 10 Information Exchange
Summary of ISO Rule	ISO rule 10 sets out information disclosure principles, guidelines concerning confidential information and provides a list of reports the AESO exchanges with market participants.
Summary of Amendment	The proposed changes include renaming and transitioning ISO rule 10 into the AESO’s standard authoritative document format, focusing the provisions on confidentiality and non-confidentiality and removing all references to reports.
Level	II
Explanation of Confidentiality	None
Proposed Effective Date	January 5, 2010
AESO Contact	Regulatory Services, Ghaz Marinho, <a href="mailto:ghaz.marinho@aeso.ca">ghaz.marinho@aeso.ca</a> , (403) 539-2474
Attachments	<b>See attached:</b>  <a href="#">Rationale Document for Final Changes to ISO Rule 10</a>



## ISO Rule 11 – Dispute Resolution

Rule Section/Number	ISO rule 11 Dispute Resolution
Summary of ISO Rule	ISO rule 11 sets out the AESO’s dispute resolution process and procedures.
Summary of Amendment	The proposed changes include transitioning ISO rule 11 into the AESO’s standard authoritative document format, simplifying the dispute resolution procedures and allowing for mediation or arbitration without specifying the procedures.
Level	II
Explanation of Confidentiality	None
Proposed Effective Date	January 5, 2010
AESO Contact	Regulatory Services, Ghaz Marinho, <a href="mailto:ghaz.marinho@aeso.ca">ghaz.marinho@aeso.ca</a> , (403) 539-2474
Attachments	<b>See attached:</b>  <a href="#">Rationale Document for Final Changes to ISO Rule 11</a>