AUC
Alberta Utilities Commission

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ELECTRONIC NOTIFICATION

September 22, 2009

To: Markets Distribution List

Follow-Up to September 18, 2009 Roundtable Meeting
Consultation on Market Surveillance Administrator (MSA) Specified Penalties Proceedings Before the Alberta Utilities Commission (AUC or Commission)

On September 18, 2009 a roundtable meeting was held where stakeholders, Commissioners and senior Commission staff discussed the potential regulatory treatment of procedural fairness and due process issues associated with proceedings brought before the Commission by the MSA. The Commission thanks those stakeholders who contributed to the roundtable through their attendance and previously submitted comments on the topics identified in Bulletin 2009-15 and Bulletin 2009-16.

The time allotted for the roundtable on September 18, 2009 did not allow stakeholders or the Commission to address the process relating to Specified Penalties brought before the Commission. Stakeholders were informed at the close of the meeting that the Commission would need to schedule additional time to address the issue of Specified Penalties.

The follow-up roundtable meeting to discuss Specified Penalties will be held at the **Commission's offices in Calgary, 400, 425 First Street S.W., on Friday October 2, 2009 from 9:00 a.m. to 11:00 a.m.** A continental breakfast will be served at 8:30 a.m. The Commission intends to lead the Specified Penalties discussion and give all parties an opportunity to speak to the issues. The meeting will be recorded in order to create a full record in conjunction with the administrative penalty discussion.

Following the roundtable on Specified Penalties a date will be set for parties to provide written comments in response to parties' comments at the roundtable discussion. All written comments and submissions, including the record taken of the roundtable meeting, filed in connection with this consultation will be considered by the full Commission and could form the basis for a regulatory framework regarding Specified Penalties proceedings before the Commission.

Please RSVP for this event to Sherry Sellick at sherry.sellick@auc.ab.ca by Friday, September 25, 2009. In addition, please provide any comments to this letter on or before the RSVP deadline.

All Bulletins, stakeholder comments and discussion papers can be found under the Market Oversight > Market Consultations section of the Commission website.

If you have any questions please contact John Esaiw at (403) 592-4479 or john.esaiw@auc.ab.ca.

(original signed by John Esaiw)

John Esaiw
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