

ELECTRONIC NOTIFICATION

October 16, 2009

To: Markets Distribution List

**Follow-Up to October 2, 2009 Roundtable Meeting
Consultation on Market Surveillance Administrator (MSA) Specified Penalties Proceedings
Before the Alberta Utilities Commission (AUC or Commission)**

On October 2, 2009 a roundtable meeting was held where stakeholders, Commissioners and senior Commission staff discussed the potential regulatory treatment of procedural fairness and due process issues associated with specified penalty proceedings brought before the Commission by the MSA. The Commission thanks those stakeholders who contributed to the roundtable through their attendance and previously submitted comments on the topics identified in [Bulletin 2009-15](#) and [Bulletin 2009-16](#).

Please find attached a copy of the transcripts of the October 2, 2009 roundtable meeting. Parties that attended the meeting had an opportunity to review and correct the transcripts, if necessary, to ensure that they accurately reflect the meeting discussion.

Parties are reminded that any written comments regarding the September 18, 2009 roundtable meeting and October 2, 2009 roundtable meeting should be submitted to the Commission on or before October 23, 2009 to john.esaiw@auc.ab.ca. The contents of these written comments are open to the parties and may include new points, points that were raised at the roundtables or a summary of previous points relating to MSA proceedings before the Commission.

All written comments and submissions, including the transcripts of the roundtables, will be considered by the full Commission and could form that basis for a regulatory framework regarding proceedings brought by the MSA before the Commission.

If you have any questions please contact John Esaiw at (403) 592-4479 or john.esaiw@auc.ab.ca.

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