

AUC Rule 028 (version 1.5): Proposed changes for 2016

[Stakeholder: 1772387 Alberta Limited Partnership (Encor), AltaGas Utilities Inc. (AltaGas), ATCO Gas, Cognera Corp. (Cognera), Direct Energy, ENMAX Energy Corporation (EEC)]

Section	Subsection	Existing	Proposed changes	Stakeholder comment	AUC response
2 Responsibilities	2.12 Transition of LSA/MDM/ distributor	None	<p>Any market participant who proposes to cause a change to the party performing the role and responsibilities of an LSA/MDM/distributor must provide written notice to each market participant that may be affected by the change. The written notice must be provided at least 90 calendar days prior to the date that the change is to take place. In addition to the written notice, both the current LSA/MDM/distributor and the new LSA/MDM/distributor designate must jointly submit a transition plan to the AUC at least 60 calendar days prior to the effective date of the change. The transition plan must include the steps and processes to be carried out by the current and designated party to ensure that the transition of role and responsibilities will be done in a reasonable manner and will not disrupt the load settlement processes and other market participants' business processes or disrupt service to customers.</p>	<p>ATCO Gas: ATCO Gas supports this change.</p> <p>AltaGas: No concerns with the proposed changes.</p> <p>Encor: Encor recommends the timeline for providing the written notice and submission of a transition plan be changed from 90 to 150 and from 60 to 120 respectively. This will provide sufficient timelines for the impacted market participants to update and align their processes for the transition.</p> <p>Per Rule 004, distributors are</p>	<p>While the Commission acknowledges the concerns identified by Encor, as discussed in the AUC Rule 021 and Rule 028 Industry Consultation meetings, the intent of this section is to ensure that if a change in LSA/MDM/distributor is to occur, sufficient notice must be given to those market</p>

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				<p>required to provide a minimum of 90 calendar days' notice prior to effective billing cycle adjustments. As these transitions may result in changes to billing cycles, the transition plans may also include changes to the billing cycle. As such, a longer timeline is required to allow impacted parties sufficient time to provide the notification per Rule 004.</p> <p>Cognera: Agreed. As per group discussions, Cognera anticipates feedback from market consultation will be included in submitted transition plans. Additionally, Cognera anticipates participants engaging in large</p>	<p>participants affected by the change. In addition, those market participants will have the opportunity to engage in a coordinated effort to come up with a transition plan that will prevent disruption in the market, and most importantly, service to customers.</p> <p>Agreed</p>

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				scale initiatives will adjust notice dates accordingly vs. utilizing market minimum notice periods.	
8 Information exchange standards	8.4.2 File naming convention	<p>(1) All transactions of the same type for a period (usually one day) are to be placed in a single CSV format file. The standard file naming convention is:</p> <p>TRX_From_To_YYYYMMDDHHMISS.CSV or TRX_From_To_YYYYMMDDHHMISS.csv</p> <p>where,</p> <p>TRX Three-letter abbreviation for the transaction name (e.g. "SRR"). The three letters must be in upper case format.</p> <p>From ID of the sender, either distributor ID, retailer ID or settlement ID.</p> <p>To ID of the receiver, either distributor ID, retailer ID or settlement ID.</p> <p>YYYYMMDD Date the file was created.</p> <p>HHMISS Time the file was created on a 24-hour clock.</p> <p>(2) In the case where the file has no single recipient, such as the settlement profile value transaction (SPV), the "To_" may be omitted from the file name. The file name would be as follows:</p> <p>TRX_From_YYYYMMDDHHMISS.CSV or TRX_From_YYYYMMDDHHMISS.csv</p> <p>(3) For transactions with a Transaction Status Code field, a response file (a mirrored-back transaction file) may be</p>	<p>1) All transactions of the same type for a period (usually one day) are to be placed in a single CSV format file. The standard file naming convention is:</p> <p>TRX_From_To_YYYYMMDDHHMISS.CSV or TRX_From_To_YYYYMMDDHHMISS.csv</p> <p>where,</p> <p>TRX Three-letter abbreviation for the transaction name (e.g. "SRR"). The three letters must be in upper case format.</p> <p>From ID of the sender, either distributor ID, retailer ID or settlement ID.</p> <p>To ID of the receiver, either distributor ID, retailer ID or settlement ID.</p> <p>YYYYMMDD Date the file was created.</p> <p>HHMISS Time the file was created on a 24-hour clock.</p> <p>(2) In the case where the file has no single recipient, such as the settlement profile value transaction (SPV), the "To_" may be omitted from the file name. The file name would be as follows:</p> <p>TRX_From_YYYYMMDDHHMISS.CSV or TRX_From_YYYYMMDDHHMISS.csv</p> <p>(3) For transactions with the a Transaction Status Code field populated, a response rejected file (a mirrored-back</p>	<p>ATCO Gas: ATCO Gas supports this revision to the wording.</p> <p>AltaGas: No concerns with the proposed changes.</p> <p>Encor: Encor has no concerns.</p> <p>Cognera: No comment.</p>	<p>The AUC will change Section 8.4.2(3) to read: "For transactions with the Transaction Status Code field populated, a rejected file may be returned to the original sender to notify the sender of any errors in individual transactions. The rejected file would be returned using the file name under which it was received, with an "R" appended at the end of the file name before the .CSV or .csv.</p>

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		<p>delivered to the sender. Its purpose is to notify the sender of any errors in individual transactions. The file naming convention for the response files would be as follows:</p> <p>TRX_From_To_YYYYMMDDHHMISSR.CSV or TRX_From_To_YYYYMMDDHHMISSR.csv</p>	<p>transaction file) may be returned delivered to the original sender. Its purpose is to notify the sender of any errors in individual transactions. The rejected file would be returned using the file name under which it was received with an “R” appended at the end. The file naming convention for the response file would be as follows:</p> <p>TRX_From_To_YYYYMMDDHHMISSR.CSV or TRX_From_To_YYYYMMDDHHMISSR.csv</p>																										
	<p>8.4.6.12 Site ID catalogue transaction (SID) – process rules and content</p>	<p>Table 2. Site ID catalogue transaction (SID)</p> <table border="1" data-bbox="526 651 1166 1243"> <thead> <tr> <th>Sequence</th> <th>Field</th> <th>Data type/size</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>30</td> <td>Area Name</td> <td>Varchar (20)</td> <td>Optional field – Populated at sender’s discretion. Oilfield name, subdivision name, etc.</td> </tr> <tr> <td>31</td> <td>Cluster Correlation Key</td> <td>Number (6)</td> <td>Optional field – Populated at sender’s discretion. Identifies a grouping of sites which are normally handled jointly with regards to enrolment.</td> </tr> </tbody> </table>	Sequence	Field	Data type/size	Description	30	Area Name	Varchar (20)	Optional field – Populated at sender’s discretion. Oilfield name, subdivision name, etc.	31	Cluster Correlation Key	Number (6)	Optional field – Populated at sender’s discretion. Identifies a grouping of sites which are normally handled jointly with regards to enrolment.	<p>Table 2. Site ID catalogue transaction (SID)</p> <table border="1" data-bbox="1306 651 1956 1243"> <thead> <tr> <th>Sequence</th> <th>Field</th> <th>Data type/size</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>30</td> <td>Area Name</td> <td>Varchar (2030)</td> <td>Optional field – Populated at sender’s discretion. Oilfield name, subdivision name, etc.</td> </tr> <tr> <td>31</td> <td>Cluster Correlation Key</td> <td>Number (613)</td> <td>Optional field – Populated at sender’s discretion. Identifies a grouping of sites which are normally handled jointly with regards to enrolment.</td> </tr> </tbody> </table>	Sequence	Field	Data type/size	Description	30	Area Name	Varchar (2030)	Optional field – Populated at sender’s discretion. Oilfield name, subdivision name, etc.	31	Cluster Correlation Key	Number (613)	Optional field – Populated at sender’s discretion. Identifies a grouping of sites which are normally handled jointly with regards to enrolment.	<p>ATCO Gas: ATCO Gas supports this change.</p> <p>AltaGas: No concerns with the proposed changes.</p> <p>Encor: Encor has no concerns.</p> <p>Cognera: No comment.</p>	
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General comments:	<p>EEC: EEC staff has reviewed the summary tables of proposed changes for AUC Rule 021 and Rule 028 and have no objections or additional comments.</p>																																																											

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	Direct Energy: Direct Energy is okay with the proposed changes.				