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AUC Rule 017: Stakeholder comment matrix

Please provide comments relating to the subsection of the rule in the corresponding box. If you have proposed language changes, please make the changes under the “proposed changes” column with tracked changes on (they are on by default).

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| | | Part 1: General matters | Part 1: General matters | | |
| 1 | 1.1 | Definitions The definitions from the <i>Electric Utilities Act</i> apply to these rules. | Definitions The definitions from the <i>Electric Utilities Act</i> apply to these rules. | | |
| 1 | 1.2 | In these rules: (a) “ISO” means the Independent System Operator. (b) “ISO rule” means a rule made by the ISO under its authority in the <i>Electric Utilities Act</i> that: i. has been approved by the Commission after August 1, 2018; or ii. was made by the ISO before August 1, 2018 and has not been amended or removed on or after August 1, 2018. | In these rules: (a) “ISO” means the Independent System Operator. (b) “ISO rule” means a rule made by the ISO under its authority in the <i>Electric Utilities Act</i> that: i. has been approved by the Commission after August 1, 2018; or ii. was made by the ISO before August 1, 2018 and has not been amended or removed on or after August 1, 2018. | | |
| | | Part 2: Procedures and process for development of proposed rules and application for Commission approval | Part 2: Procedures and process for development of proposed rules and application for Commission approval | | |
| 2 | 2.1 | Definitions In this Part: (a) “proposed rule” means a proposed new ISO rule, a proposed amendment to an existing ISO rule, a proposed removal of an existing ISO rule, or a renewal of an existing ISO rule that has a fixed term. | Definitions In this Part: (a) “proposed rule” means a proposed new ISO rule, a proposed amendment to an existing ISO rule, a proposed removal of an existing ISO rule, or a renewal of an existing ISO rule that has a fixed term. | | |
| 3 | 3.1 | Application This Part does not apply to proposed provisional rules or proposed initial rules as those terms are defined in Part 3. | Application This Part does not apply to proposed provisional rules or proposed initial rules as those terms are defined in Part 3. | | |

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| 4 | 4.1 | <p>Notice for development of proposed rules</p> <p>When the ISO identifies an issue, or receives a complete proposal pursuant to its process under Section 20.81 of the <i>Electric Utilities Act</i>, that, in the opinion of the ISO, may require a proposed rule, the ISO must issue written notice to the Market Surveillance Administrator, market participants, and other interested parties prior to developing a proposed rule and must post the notice on the ISO's website.</p> | <p>Notice for development of proposed rules</p> <p>When the ISO identifies an issue, or receives a complete proposal pursuant to its process under Section 20.81 of the <i>Electric Utilities Act</i>, that, in the opinion of the ISO, may require a proposed rule, the ISO must issue written notice to the Market Surveillance Administrator, market participants, and other interested parties prior to developing a proposed rule and must post the notice on the ISO's website.</p> | <p>TCE submits that sufficient transparency is required with respect to Section 20.81 rule proposals. Market participants need to know what proposals have been submitted, the status of the proposals, and sufficiently detailed reasons for why a proposal was rejected by the AESO. To the extent that the process the AESO develops pursuant to Section 20.81 of the <i>Electric Utilities Act</i> includes these requirements, TCE would not consider it necessary to duplicate the requirements in Rule 017. However, if the AESO's process does not include these requirements, TCE would recommend that the AUC expand the scope of Rule 017 accordingly.</p> | |
| 4 | 4.2 | <p>If the notice is required because of receipt of a complete proposal received by the ISO pursuant to its process under Section 20.81 of the <i>Electric Utilities Act</i>, the ISO must issue notice within 30 days of receipt of the complete proposal.</p> | <p>If the notice is required because of receipt of a complete proposal received by the ISO pursuant to its process under Section 20.81 of the <i>Electric Utilities Act</i>, the ISO must issue notice within 30 days of receipt of the complete proposal.</p> | | |
| 4 | 4.3 | <p>The notice must set out:</p> <ul style="list-style-type: none"> (a) the issue that a proposed rule is intended to address; (b) a description of the potential objective or purpose of the proposed rule; (c) the manner in which the Market Surveillance Administrator, market participants, and other interested parties may submit: <ul style="list-style-type: none"> (i) written comments; (ii) a notice of intent to participate in consultation; and | <p>The notice must set out:</p> <ul style="list-style-type: none"> (a) the issue that a proposed rule is intended to address; (b) a description of the potential objective or purpose of the proposed rule; (c) the manner in which the Market Surveillance Administrator, market participants, and other interested parties may submit: <ul style="list-style-type: none"> (i) written comments; (ii) a notice of intent to participate in consultation; and | | |

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| | | (iii) comments on the form of consultation; (d) the deadline date for comments, which must not be less than 15 days from the later of: (i) the date the notice is issued to the Market Surveillance Administrator, market participants, and other interested parties; or (ii) the date the notice is issued on the ISO's website; and (e) where the information set out in subsection 4.4 can be accessed. | (iii) comments on the form of consultation; (d) the deadline date for comments, which must not be less than 15 days from the later of: (i) the date the notice is issued to the Market Surveillance Administrator, market participants, and other interested parties; or (ii) the date the notice is issued on the ISO's website; and (e) where the information set out in subsection 4.4 can be accessed. | | |
| 4 | 4.4 | When the notice is posted on the ISO's website, the ISO must also post a copy of any material the ISO considers to be relevant that is related to the need for, the development of, removal of, or renewal of the proposed rule. | When the notice is posted on the ISO's website, the ISO must also post a copy of any material the ISO considers to be relevant that is related to the need for, the development of, removal of, or renewal of the proposed rule. | | |
| 5 | 5.1 | Comments The Market Surveillance Administrator, market participants, and interested parties may: (a) provide general written comments to the ISO on the need for, the development of, removal of, or renewal of a proposed rule; (b) submit a notice of intent to participate in consultation with the ISO; and (c) comment on the form of consultation. | Comments The Market Surveillance Administrator, market participants, and interested parties may: (a) provide general written comments to the ISO on the need for, the development of, removal of, or renewal of a proposed rule; (b) submit a notice of intent to participate in consultation with the ISO; and (c) comment on the form of consultation. | | |
| 5 | 5.2 | Within 5 business days of receipt of comments, the ISO must: (a) post all submissions received pursuant to subsection 5.1 on the ISO's website; and (b) provide written notice to the Market Surveillance Administrator, market participants and other interested parties regarding where the information set out in subsection 5.2(a) can be accessed. | Within 5 business days of receipt of comments, the ISO must: (a) post all submissions received pursuant to subsection 5.1 on the ISO's website; and (b) provide written notice to the Market Surveillance Administrator, market participants and other interested parties regarding where the information set out in subsection 5.2(a) can be accessed. | | |
| 6 | 6.1 | Consultation for development of proposed rules Upon receipt of any notice of intent to participate in consultation, the ISO must: (a) form a consultation group or consultation groups composed | Consultation for development of proposed rules Upon receipt of any notice of intent to participate in consultation, the ISO must: (a) form a consultation group or consultation groups composed | Because an "or" function is true if any of the arguments are satisfied, TCE recommends that the AUC replace the word "or" in | |

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| | | <p>of any parties;</p> <p>(i) who submitted a notice of intent to participate in consultation; or</p> <p>(ii) that the ISO thinks may be directly affected by the proposed rule;</p> <p>(b) consult with the consultation group(s) in a manner determined by the ISO to:</p> <p>(i) determine the need for a proposed rule; and</p> <p>(ii) develop a proposed rule if, in the opinion of the ISO a proposed rule is necessary.</p> | <p>of any parties;</p> <p>(i) who submitted a notice of intent to participate in consultation; or</p> <p>(ii) that the ISO thinks may be directly affected by the proposed rule;</p> <p>(b) consult with the consultation group(s) in a manner determined by the ISO to:</p> <p>(i) determine the need for a proposed rule; and</p> <p>(ii) develop a proposed rule if, in the opinion of the ISO a proposed rule is necessary.</p> | <p>subsection (a)(i) with “and”. This will ensure that the ISO must form a consultation group composed of both those parties that submitted a notice <u>and</u> those the ISO thinks may be directly affected.</p> | |
| 6 | 6.2 | <p>When the ISO undertakes consultation with a consultation group or consultation groups under subsection 6.1, the ISO must provide an opportunity for members of the consultation group(s) to make submissions regarding the information required in subsections 7.2(b) through 7.2(i) of this rule.</p> | <p>When the ISO undertakes consultation with a consultation group or consultation groups under subsection 6.1, the ISO must provide an opportunity for members of the consultation group(s) to make submissions regarding the information required in subsections 7.2(b) through 7.2(i) of this rule.</p> | | |
| 6 | 6.3 | <p>Following development of a proposed rule, the ISO must provide written notice to the Market Surveillance Administrator, market participants and other interested parties and solicit comments on the proposed rule by way of a:</p> <p>(a) proposed rule comment matrix; and</p> <p>(b) request for comment on whether the proposed rule is technically deficient.</p> | <p>Following development of a proposed rule, the ISO must provide written notice to the Market Surveillance Administrator, market participants and other interested parties and solicit comments on the proposed rule by way of a:</p> <p>(a) proposed rule comment matrix; and</p> <p>(b) request for comment on whether the proposed rule is technically deficient.</p> | | |
| 6 | 6.4 | <p>The notice required by subsection 6.3 must:</p> <p>(a) be posted on the ISO’s website;</p> <p>(b) include the information required by subsection 4.3, excluding the requirement in subsection 4.3(c)(ii) and 4.3(c)(iii); and</p> <p>(c) include the information required by subsection 4.4.</p> | <p>The notice required by subsection 6.3 must:</p> <p>(a) be posted on the ISO’s website;</p> <p>(b) include the information required by subsection 4.3, excluding the requirement in subsection 4.3(c)(ii) and 4.3(c)(iii); and</p> <p>(c) include the information required by subsection 4.4.</p> | | |
| 6 | 6.5 | <p>Within 5 business days of receipt of comments received pursuant to subsection 6.3, the ISO must:</p> <p>(a) post all submissions on the ISO’s website; and</p> <p>(b) provide written notice to the Market Surveillance</p> | <p>Within 5 business days of receipt of comments received pursuant to subsection 6.3, the ISO must:</p> <p>(a) post all submissions on the ISO’s website; and</p> <p>(b) provide written notice to the Market Surveillance</p> | <p>TCE strongly encourages the AUC to include, in the new Rule 017, the reply provisions contained in sections 8-10 of the current</p> | |

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| | | Administrator, market participants and other interested parties regarding where the submissions referred to in subsection 6.5(a) can be accessed. | Administrator, market participants and other interested parties regarding where the submissions referred to in subsection 6.5(a) can be accessed. | Rule 017 (with the exception of 9(3)(b)). The current reply framework provides market participants with timely information that may lead to a more efficient regulatory process by: (i) improving the common understanding of the issues; (ii) narrowing the scope of issues to be considered in the proceeding; and (iii) streamlining the evidentiary phase of the proceeding. | |
| 6 | 6.6 | After consulting under Section 6, the ISO may proceed to make an application to the Commission under Section 7. | After consulting under Section 6, the ISO may proceed to make an application to the Commission under Section 7. | | |
| 7 | 7.1 | <p>Application for Commission approval of proposed rules</p> <p>The ISO must apply to the Commission for approval of a proposed rule.</p> | <p>Application for Commission approval of proposed rules</p> <p>The ISO must apply to the Commission for approval of a proposed rule.</p> | | |
| 7 | 7.2 | <p>Subject to subsection 7.3, an application for approval of a proposed rule must include the following information:</p> <ul style="list-style-type: none"> (a) a description of the consultation process that was undertaken by the ISO including a list of parties who participated and copies of any submissions made by parties under subsections 6.2 and 6.3; (b) an explanation of whether the proposed rule relates to the capacity market and why; (c) if the proposed rule relates to the capacity market, an explanation of whether or not the proposed rule will be in effect for a fixed term and why; (d) a description of the objective or purpose of the proposed rule; (e) a copy of any analysis conducted or commissioned by the ISO supporting the proposed rule, with a description of assumptions and methodology; (f) an explanation of why the proposed rule, taken together with all ISO rules and in light of the principle of a fair, | <p>Subject to subsection 7.3, an application for approval of a proposed rule must include the following information:</p> <ul style="list-style-type: none"> (a) a description of the consultation process that was undertaken by the ISO including a list of parties who participated and copies of any submissions made by parties under subsections 6.2 and 6.3; (b) an explanation of whether the proposed rule relates to the capacity market and why; (c) if the proposed rule relates to the capacity market, an explanation of whether or not the proposed rule will be in effect for a fixed term and why; (d) a description of the objective or purpose of the proposed rule; (e) a copy of any analysis conducted or commissioned by the ISO supporting the proposed rule, with a description of assumptions and methodology; (f) an explanation of why the proposed rule, taken together with all ISO rules and in light of the principle of a fair, | | |

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| | | <p>efficient and openly competitive market, was proposed;</p> <p>(g) a description of any alternatives that were explored during consultation and an explanation as to why they were rejected;</p> <p>(h) if the rule relates to the capacity market, a description of how the proposed rule supports ensuring a reliable supply of electricity at a reasonable cost to customers; and</p> <p>(i) an explanation of how the proposed rule supports the public interest.</p> | <p>efficient and openly competitive market, was proposed;</p> <p>(g) a description of any alternatives that were explored during consultation and an explanation as to why they were rejected;</p> <p>(h) if the rule relates to the capacity market, a description of how the proposed rule supports ensuring a reliable supply of electricity at a reasonable cost to customers; and</p> <p>(i) an explanation of how the proposed rule supports the public interest.</p> | | |
| 7 | 7.3 | In the event that any of the information set out in subsection 7.2 is not included in the application, the ISO must provide an explanation for not doing so. | In the event that any of the information set out in subsection 7.2 is not included in the application, the ISO must provide an explanation for not doing so. | | |
| | | Part 3: Procedures and process for development of proposed provisional rules and proposed initial rules and application for Commission approval | Part 3: Procedures and process for development of proposed provisional rules and proposed initial rules and application for Commission approval | | |
| 8 | 8.1 | <p>Definitions</p> <p>In this Part:</p> <p>(a) “proposed initial rule” means a proposed new ISO rule, a proposed amendment to an existing ISO rule, a proposed removal of an existing ISO rule, or a renewal of an existing ISO rule that has a fixed term that:</p> <ul style="list-style-type: none"> (i) has received provisional approval from the Commission under Section 20.22 of the <i>Electric Utilities Act</i>; or (ii) has not received provisional approval from the Commission under Section 20.22 of the <i>Electric Utilities Act</i> and was: <ul style="list-style-type: none"> 1. directed by the Commission to be considered under Section 20.22 of the <i>Electric Utilities Act</i> pursuant to Section 20.22(4)(c) of the <i>Electric Utilities Act</i>; or 2. developed by the ISO pursuant to Section 41.42(1) of the <i>Electric Utilities Act</i>. <p>(b) “proposed provisional rule” means a proposed new ISO rule, a proposed amendment to an existing ISO rule, or a proposed removal of an existing ISO rule that is developed by the ISO pursuant to Section 41.42(3) of the <i>Electric</i></p> | <p>Definitions</p> <p>In this Part:</p> <p>(a) “proposed initial rule” means a proposed new ISO rule, a proposed amendment to an existing ISO rule, a proposed removal of an existing ISO rule, or a renewal of an existing ISO rule that has a fixed term that:</p> <ul style="list-style-type: none"> (i) has received provisional approval from the Commission under Section 20.22 of the <i>Electric Utilities Act</i>; or (ii) has not received provisional approval from the Commission under Section 20.22 of the <i>Electric Utilities Act</i> and was: <ul style="list-style-type: none"> 1. directed by the Commission to be considered under Section 20.22 of the <i>Electric Utilities Act</i> pursuant to Section 20.22(4)(c) of the <i>Electric Utilities Act</i>; or 2. developed by the ISO pursuant to Section 41.42(1) of the <i>Electric Utilities Act</i>. <p>(b) “proposed provisional rule” means a proposed new ISO rule, a proposed amendment to an existing ISO rule, or a proposed removal of an existing ISO rule that is developed by the ISO pursuant to Section 41.42(3) of the <i>Electric</i></p> | <p>TCE recommends the following change to Section 8.1(a)(ii)1 so that it aligns with the language contained in Section 20.22(4)(c) of the <i>Electric Utilities Act</i>:</p> <p>1. directed by the Commission to be considered under Section 20.212 of the <i>Electric Utilities Act</i> pursuant to Section 20.22(4)(c) of the <i>Electric Utilities Act</i>; or</p> <p>TCE seeks clarity with respect to Section 8.1(a)(ii)2. It appears that this section would apply to any proposed rule that has not received provisional approval and was developed by the AESO</p> | |

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| | | <i>Utilities Act.</i> | <i>Utilities Act.</i> | under Section 41.42(1) of the <i>Electric Utilities Act</i> . A proposed rule developed under Section 41.42(1) would include any rule required for the establishment or operation of the capacity market, both essential or non-essential as determined by the AESO. As such, this would appear to include proposed provisional rules, which TCE expects is not the intent. Further, if the AESO were to determine that a proposed capacity market-related rule was non-essential prior to engaging in consultation, TCE submits that it should not be considered a proposed initial rule. | |
| 9 | 9.1 | Application This Part expires on the date the Commission issues a decision under Section 20.23 of the <i>Electric Utilities Act</i> . | Application This Part expires on the date the Commission issues a decision under Section 20.23 of the <i>Electric Utilities Act</i> . | | |
| 10 | 10.1 | Notice for development of proposed provisional rules When the ISO develops or intends to develop a proposed provisional rule, the ISO must issue written notice to the Market Surveillance Administrator, market participants, and other interested parties prior to developing a proposed provisional rule and must post the notice on the ISO's website. | Notice for development of proposed provisional rules When the ISO develops or intends to develop a proposed provisional rule, the ISO must issue written notice to the Market Surveillance Administrator, market participants, and other interested parties prior to developing a proposed provisional rule and must post the notice on the ISO's website. | | |
| 10 | 10.2 | The notice must set out: (a) the issue that a proposed provisional rule is intended to address; (b) a description of the potential objective or purpose of the proposed provisional rule; (c) the manner in which the Market Surveillance Administrator, market participants, and other interested parties may | The notice must set out: (a) the issue that a proposed provisional rule is intended to address; (b) a description of the potential objective or purpose of the proposed provisional rule; (c) the manner in which the Market Surveillance Administrator, market participants, and other interested parties may | | |

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| | | submit: (i) written comments; (ii) a notice of intent to participate in consultation; and (iii) comment on the form of consultation; (d) the deadline date for comments which must not be less than 15 days from the later of: (i) the date the notice is issued to the Market Surveillance Administrator, market participants, and other interested parties; or (ii) the date the notice is issued on the ISO's website; and (e) where the information outlined in subsection 10.3 can be accessed. | submit: (i) written comments; (ii) a notice of intent to participate in consultation; and (iii) comment on the form of consultation; (d) the deadline date for comments which must not be less than 15 days from the later of: (i) the date the notice is issued to the Market Surveillance Administrator, market participants, and other interested parties; or (ii) the date the notice is issued on the ISO's website; and (e) where the information outlined in subsection 10.3 can be accessed. | | |
| 10 | 10.3 | When the notice is posted on the ISO's website, the ISO must also post a copy of any material the ISO considers to be relevant that is related to the need for, the development of, or removal of the proposed provisional rule. | When the notice is posted on the ISO's website, the ISO must also post a copy of any material the ISO considers to be relevant that is related to the need for, the development of, or removal of the proposed provisional rule. | | |
| 11 | 11.1 | Comments The Market Surveillance Administrator, market participants, and interested parties may: (a) provide general written comments to the ISO on the need for a proposed provisional rule; (b) submit a request to participate in consultation with the ISO; and (c) comment on the form of consultation. | Comments The Market Surveillance Administrator, market participants, and interested parties may: (a) provide general written comments to the ISO on the need for a proposed provisional rule; (b) submit a request to participate in consultation with the ISO; and (c) comment on the form of consultation. | | |
| 11 | 11.2 | Within 5 business days of receipt of comments, the ISO must: (a) post all submissions received pursuant to subsection 11.1 on the ISO's website; and (b) provide written notice to the Market Surveillance Administrator, market participants and other interested parties regarding where the information set out in subsection 11.2(a) can be accessed. | Within 5 business days of receipt of comments, the ISO must: (a) post all submissions received pursuant to subsection 11.1 on the ISO's website; and (b) provide written notice to the Market Surveillance Administrator, market participants and other interested parties regarding where the information set out in subsection 11.2(a) can be accessed. | | |

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| 12 | 12.1 | <p>Consultation on proposed provisional rules</p> <p>Upon receipt of any notice of intent to participate in consultation, the ISO must:</p> <p>(a) form a consultation group or consultation groups composed of any parties:</p> <ul style="list-style-type: none"> (i) who submitted a request to participate in consultation; or (ii) that the ISO thinks may be directly affected by the proposed provisional rule; (iii) consult with the consultation group(s) in a manner determined by the ISO to further develop the proposed provisional rule. | <p>Consultation on proposed provisional rules</p> <p>Upon receipt of any notice of intent to participate in consultation, the ISO must:</p> <p>(a) form a consultation group or consultation groups composed of any parties:</p> <ul style="list-style-type: none"> (i) who submitted a request to participate in consultation; or (ii) that the ISO thinks may be directly affected by the proposed provisional rule; (iii) consult with the consultation group(s) in a manner determined by the ISO to further develop the proposed provisional rule. | <p>Because an “or” function is true if any of the arguments are satisfied, TCE recommends that the AUC replace the word “or” in subsection (a)(i) with “and”. This will ensure that the ISO must form a consultation group composed of both those parties that submitted a notice <u>and</u> those the ISO thinks may be directly affected.</p> <p>TCE believes there to be a minor numbering error in the proposed language and recommends the AUC change the numbering of subsection (a)(iii) to (b).</p> | |
| 12 | 12.2 | <p>When the ISO undertakes consultation with a consultation group or consultation groups under subsection 12.1, the ISO must provide an opportunity for members of the consultation group(s) to make submissions regarding the information required in subsections 13.2(b) through 13.2(j) of this rule.</p> | <p>When the ISO undertakes consultation with a consultation group or consultation groups under subsection 12.1, the ISO must provide an opportunity for members of the consultation group(s) to make submissions regarding the information required in subsections 13.2(b) through 13.2(j) of this rule.</p> | | |
| 12 | 12.3 | <p>Following development of a proposed provisional rule, the ISO must provide written notice to the Market Surveillance Administrator, market participants and other interested parties and solicit comments on the proposed provisional rule by way of a:</p> <p>(a) proposed provisional rule comment matrix; and</p> <p>(b) request for comment on whether the proposed provisional rule is technically deficient.</p> | <p>Following development of a proposed provisional rule, the ISO must provide written notice to the Market Surveillance Administrator, market participants and other interested parties and solicit comments on the proposed provisional rule by way of a:</p> <p>(a) proposed provisional rule comment matrix; and</p> <p>(b) request for comment on whether the proposed provisional rule is technically deficient.</p> | | |
| 12 | 12.4 | <p>The notice required by subsection 12.3 must:</p> <p>(a) be posted on the ISO’s website;</p> <p>(b) include the information required by subsection 10.3,</p> | <p>The notice required by subsection 12.3 must:</p> <p>(a) be posted on the ISO’s website;</p> <p>(b) include the information required by subsection 10.3,</p> | | |

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| | | excluding the requirement in subsection 10.3(c)(ii) and 10.3(c)(iii); and (c) include the information required by subsection 10.4. | excluding the requirement in subsection 10.3(c)(ii) and 10.3(c)(iii); and (c) include the information required by subsection 10.4. | | |
| 12 | 12.5 | Within 5 business days of receipt of comments received pursuant to subsection 12.3, the ISO must: (a) post all submissions on the ISO's website; and (b) provide written notice to the Market Surveillance Administrator, market participants and other interested parties regarding where the submissions referred to in subsection 12.5(a) can be accessed. | Within 5 business days of receipt of comments received pursuant to subsection 12.3, the ISO must: (a) post all submissions on the ISO's website; and (b) provide written notice to the Market Surveillance Administrator, market participants and other interested parties regarding where the submissions referred to in subsection 12.5(a) can be accessed. | TCE strongly encourages the AUC to include, in the new Rule 017, the reply provisions contained in sections 8-10 of the current Rule 017 (with the exception of 9(3)(b)). The current reply framework provides market participants with timely information that may lead to a more efficient regulatory process by: (i) improving the common understanding of the issues; (ii) narrowing the scope of issues to be considered in the proceeding; and (iii) streamlining the evidentiary phase of the proceeding. | |
| 12 | 12.6 | After consulting with the working group(s), the ISO may proceed to make an application to the Commission under Section 13. | After consulting with the working group(s), the ISO may proceed to make an application to the Commission under Section 13. | | |
| 13 | 13.1 | Application for Commission approval of proposed provisional rules and proposed initial rules The ISO must apply to the Commission for approval of a proposed provisional rule and a proposed initial rule. | Application for Commission approval of proposed provisional rules and proposed initial rules The ISO must apply to the Commission for approval of a proposed provisional rule and a proposed initial rule. | | |
| 13 | 13.2 | An application for approval of a proposed provisional rule or a proposed initial rule must include the following information: (a) A description of the consultation process that was undertaken by the ISO including a list of parties who participated and copies of any submissions made by parties under subsections 12.2 and 12.3, if applicable; (b) An explanation of whether the proposed provisional rule or proposed initial rule relates to the capacity market and why; (c) If the proposed provisional rule or proposed initial rule | An application for approval of a proposed provisional rule or a proposed initial rule must include the following information: (a) A description of the consultation process that was undertaken by the ISO including a list of parties who participated and copies of any submissions made by parties under subsections 12.2 and 12.3, if applicable; (b) An explanation of whether the proposed provisional rule or proposed initial rule relates to the capacity market and why; (c) If the proposed provisional rule or proposed initial rule | | |

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| | | <p>relates to the capacity market, an explanation of whether or not the rule will be in effect for a fixed term and why;</p> <p>(d) A description of the objective or purpose of the proposed provisional rule or proposed initial rule;</p> <p>(e) A copy of any analysis conducted or commissioned by the ISO supporting the proposed provisional rule or proposed initial rule, with a description of assumptions and methodology;</p> <p>(f) An explanation of why the proposed provisional rule or proposed initial rule, taken together with all ISO rules and in light of the principle of a fair, efficient and openly competitive market, was proposed;</p> <p>(g) A description of any alternatives that were explored during consultation and an explanation as to why they were rejected;</p> <p>(h) If the rule relates to the capacity market, a description of how the proposed provisional rule or proposed initial rule supports ensuring a reliable supply of electricity at a reasonable cost to customers;</p> <p>(i) An explanation of how the proposed provisional rule or proposed initial rule supports the public interest; and</p> <p>(j) For an application for approval of a proposed provisional rule, the ISO's targeted capacity procurement volumes for the first two capacity market auctions.</p> | <p>relates to the capacity market, an explanation of whether or not the rule will be in effect for a fixed term and why;</p> <p>(d) A description of the objective or purpose of the proposed provisional rule or proposed initial rule;</p> <p>(e) A copy of any analysis conducted or commissioned by the ISO supporting the proposed provisional rule or proposed initial rule, with a description of assumptions and methodology;</p> <p>(f) An explanation of why the proposed provisional rule or proposed initial rule, taken together with all ISO rules and in light of the principle of a fair, efficient and openly competitive market, was proposed;</p> <p>(g) A description of any alternatives that were explored during consultation and an explanation as to why they were rejected;</p> <p>(h) If the rule relates to the capacity market, a description of how the proposed provisional rule or proposed initial rule supports ensuring a reliable supply of electricity at a reasonable cost to customers;</p> <p>(i) An explanation of how the proposed provisional rule or proposed initial rule supports the public interest; and</p> <p>(j) For an application for approval of a proposed provisional rule, the ISO's targeted capacity procurement volumes for the first two capacity market auctions.</p> | | |
| 13 | 13.3 | The Commission may establish additional application requirements for proposed provisional rules and proposed initial rules. | The Commission may establish additional application requirements for proposed provisional rules and proposed initial rules. | | |
| 14 | 14.1 | <p>Notice and consultation for proposed initial rules</p> <p>Following the Commission's order under Section 20.22(4) of the <i>Electric Utilities Act</i> (the "provisional decision"), for proposed initial rules that were not developed pursuant to Section 41.42(1) of the <i>Electric Utilities Act</i>, the ISO must provide notice and conduct any additional consultation, if any, in the manner directed by the Commission in the provisional decision within the timeframe directed by the Commission.</p> | <p>Notice and consultation for proposed initial rules</p> <p>Following the Commission's order under Section 20.22(4) of the <i>Electric Utilities Act</i> (the "provisional decision"), for proposed initial rules that were not developed pursuant to Section 41.42(1) of the <i>Electric Utilities Act</i>, the ISO must provide notice and conduct any additional consultation, if any, in the manner directed by the Commission in the provisional decision within the timeframe directed by the Commission.</p> | | |
| 14 | 14.2 | When the ISO identifies an issue, or receives a complete proposal under Section 20.81 of the <i>Electric Utilities Act</i> , that, | When the ISO identifies an issue, or receives a complete proposal under Section 20.81 of the <i>Electric Utilities Act</i> , that, | | |

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| | | in the opinion of the ISO, may require a proposed initial rule to be developed pursuant to Section 41.42(1) of the <i>Electric Utilities Act</i> , the ISO must provide notice and consult in the manner required by sections 10 through 12. | in the opinion of the ISO, may require a proposed initial rule to be developed pursuant to Section 41.42(1) of the <i>Electric Utilities Act</i> , the ISO must provide notice and consult in the manner required by sections 10 through 12. | | |
| | | Part 4: Application for Commission approval of expedited rules | Part 4: Application for Commission approval of expedited rules | | |
| 15 | 15.1 | Definitions In this Part: (a) “expedited ISO rule” means a proposed rule for which the Commission has approved expedited implementation under Section 20.6 of the <i>Electric Utilities Act</i> . (b) “proposed rule” has the meaning given to it in Part 2 of this rule. | Definitions In this Part: (a) “expedited ISO rule” means a proposed rule for which the Commission has approved expedited implementation under Section 20.6 of the <i>Electric Utilities Act</i> . (b) “proposed rule” has the meaning given to it in Part 2 of this rule. | | |
| 16 | 16.1 | Application Subject to subsection 17.2, Part 2 of this rule does not apply to: (a) applications for expedited implementation of proposed rules; or (b) expedited rules. | Application Subject to subsection 17.2, Part 2 of this rule does not apply to: (a) applications for expedited implementation of proposed rules; or (b) expedited rules. | | |
| 17 | 17.1 | Expedited rule applications The ISO must apply for Commission approval for expedited implementation of a proposed rule. | Expedited rule applications The ISO must apply for Commission approval for expedited implementation of a proposed rule. | | |
| 17 | 17.2 | When the ISO makes an application to the Commission for expedited implementation of a proposed rule, it must provide written notice to the Market Surveillance Administrator, market participants and other interested parties in the manner set out in subsection 4.3(a) and (b) and includes the information required by subsection 4.4, if any. | When the ISO makes an application to the Commission for expedited implementation of a proposed rule, it must provide written notice to the Market Surveillance Administrator, market participants and other interested parties in the manner set out in subsection 4.3(a) and (b) and includes the information required by subsection 4.4, if any. | | |
| 17 | 17.3 | An application for Commission approval for expedited implementation of a proposed rule must include: (a) a copy of the proposed rule for which expedited implementation is sought; and (b) a description of: | An application for Commission approval for expedited implementation of a proposed rule must include: (a) a copy of the proposed rule for which expedited implementation is sought; and (b) a description of: | | |

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| | | (i) the urgent risk to the reliable supply of electricity, if any; (ii) the urgent risk to the safe and reliable operation of the interconnected electric system, if any; or (iii) the reason for requesting expedited implementation of the proposed rule if it does not fall within (i) or (ii). | (i) the urgent risk to the reliable supply of electricity, if any; (ii) the urgent risk to the safe and reliable operation of the interconnected electric system, if any; or (iii) the reason for requesting expedited implementation of the proposed rule if it does not fall within (i) or (ii). | | |
| 17 | 17.4 | If the Commission approves expedited implementation of a proposed rule, the ISO must post the expedited ISO rule on the ISO's website on the day upon which the expedited implementation is approved by the Commission. | If the Commission approves expedited implementation of a proposed rule, the ISO must post the expedited ISO rule on the ISO's website on the day upon which the expedited implementation is approved by the Commission. | TCE finds it reasonable for part 2 of this rule to not apply to proposed rules seeking expedited treatment prior to being filed with the Commission. However, once a rule is approved on an expedited basis, TCE recommends that the AUC include a consultation requirement prior to the rule being considered under section 20.21 of the <i>Electric Utilities Act</i> . TCE submits that such consultation may significantly reduce the regulatory burden. | |

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|-------------------------|--|--------------------|---------------------|
| Demand Curve Parameters | TCE submits that certain demand curve parameters should be approved by the AUC on an annual basis in a similar manner to annual tariff updates. This requirement would ideally be set out within an ISO Rule. Should this not occur, TCE recommends that the AUC consider adding this requirement to Rule 017. | | |