

Bulletin 2016-20

November 1, 2016

Stakeholder feedback requested regarding enforcement policy and practices

The Commission is inviting market participants and interested parties to comment on the Alberta Utilities Commission's enforcement policy and practices raised in Bulletin 2016-10.

On March 29, 2016, the AUC issued [Bulletin 2016-10](#) outlining the Commission's enforcement policy including: investigations, enforcement stages, enforcement proceedings and proposed amendments to AUC Rule 001: *Rules of Practice* to better address enforcement proceedings brought by either the Market Surveillance Administrator or the Commission. That bulletin included an invitation for parties to contact the Commission with any questions, however no parties responded. This bulletin provides parties with a further opportunity to comment specifically on the Commission's enforcement program.

Today, Bulletin 2016-19, was issued concurrently with this bulletin, initiating a stakeholder consultation process on proposed [amendments to Rule 001](#). Among the amendments proposed, are new rules relating specifically to the AUC's enforcement functions. Those enforcement-related amendments are found in: subsection 1.1(g), Section 8 and subsection 24.3 of the draft Rule 001. Anyone who wishes to comment on the proposed changes to Rule 001 are requested to submit written comments to JP Mousseau, Commission counsel, at jp.mousseau@auc.ab.ca on or before December 5, 2016.

Market participants and interested parties who wish to comment specifically on the Commission's enforcement policy and practices found in Bulletin 2016-10, are requested to submit written comments to Greg Andrews at the AUC's Market Oversight and Enforcement Division before December 5, 2016.

If you have any questions regarding this consultation, please contact Greg Andrews at 403-592-4529 or greg.andrews@auc.ab.ca.

(original signed by)

Robert D. Heggie
Chief Executive