

## AUC Rule 021: Settlement System Code Working Group Meeting Summary

**Meeting Date** June 29, 2011 **Time** 10 a.m. to 2 p.m.

**Location** Videoconference AUC Offices **Facilitator** AUC Staff  
 Tenth Floor, 10055 - 106 St., Edmonton  
 Fourth Floor, 425 First St. S.W., Calgary

### 1. Introductions

Name	Company
Mike Choboter	AESO
Kirsty McDougall	AESO
Chad Crossland	AltaGas Utilities Inc.
Bruce Ramsay	ATCO Electric
Carol Benoit	ATCO Gas
Anne Glass	AUC
Will Chow	AUC
Bettyann Skagen	Battle River REA
Ralph Steendam	Central Alberta REA
Lori Harnack	Cognera Corp.
Maureen Jeske	Direct Energy
Donna Sanderow	Encana
Paul Lindsay	ENMAX Power
Lorne McKay	ENMAX Power
Heather Jarron	EPCOR
Shun Fung	EPCOR Distribution & Transmission Inc.
Lisa Lemish	EPCOR Energy Alberta Inc.
Angela Corsi	FortisAlberta Inc.
David Jackman	FortisAlberta Inc.
Nhat-Sheng Lam	Just Energy
David Lenz	Valeo Power
John Hutchison	Valeo Power

### 2. Review of last meeting's summary

- No changes required for May 25 meeting summary.

3. RIM Issue 472 – Transaction Working Group Recommendations - specifically WSI and WCI transactions

- The group discussed whether or not the Retailer ID, Client Wires Service Provider ID and the ISO Financial ID fields all needed to be mandatory in the WSI and the WCI transactions. The group concluded that those IDs should be conditional fields where it is mandatory for the field to be populated for the intended recipient and optional for parties that are just meant to be copied.

**ACTION: The AUC will modify the draft wording to reflect the conditional nature of the Retailer ID, Client Wires Service Provider ID and ISO Financial ID fields for the WSI and WCI transactions.**

4. RIM Issue 473 – Deemed Time

- The group discussed the deemed-time issue (as described in RIM Issue 473) and the conclusion reached was that a sub-working group should address this issue.

**ACTION: The AUC will form a sub-working group to address the deemed time issue.**

**ACTION: Participants who wish to a part of the deemed-time sub-working group are to send an email to Anne Glass with the name and contact of the intended participant.**

5. RIM Issue 481 – Settlement Files Receiving During Transitions Months

- The distributors confirmed that, during transition, when they send more than one settlement run on the same day, those settlement runs would come in separate files. The group agreed that RIM Issue 481 could be closed.

**Action: AUC to close RIM Issue 481**

6. RIM Issue 482 – Zone ID Field in RAM and TAA

- FortisAlberta raised the issue of whether the Transaction Working Group's proposed changes of adding Zone ID to the RAM and TAA transactions should be added at the same time as adding Zone ID to the RSA transaction (November 1, 2011). The group decided to proceed with this suggestion. The AUC is in the process of notifying the market of the changes to the RSA transaction and will notify the market of the changes to the RAM and TAA transactions at the same time.

**ACTION: Market participants will make system changes regarding the addition of the Zone ID field to the RAM and TAA transactions effective November 1, 2011.**

**ACTION: The AUC will notify all market participants, including those that do not attend the Rule 021: Settlement System Code Working Group meetings, of the addition of the Zone ID field to the RAM and TAA transactions effective November 1, 2011. The AUC will also close RIM Issue 482.**

7. Draft documents from LSAs describing their PFAM processes

- Direct commented on the differences in the information provided by the LSAs in their documents and volunteered to build a template that, once completed, they will distribute to the rest of the group for comment.

**ACTION: Direct to build a template of the LSA documents and will send to the rest of the group for comment.**

8. UCI transaction – Alternate Contact field

- The retailers agreed that a standardized field layout (FIRST NAME SPACE LAST NAME) truncated after 50 characters would be used for the Alternate Contact field. However, some retailers will be providing alternate contacts on a go-forward basis and others will be sending replacement UCIs for all sites with alternate contacts in their system. If there is more than one alternate contact, retailers will send either the first alternate contact or the longest standing alternate contact.

9. Subgroups Updates:

a. EEE

- The recommendation from the EEE subgroup was for the distributors to add the meter number to the SID transaction. A privacy issue was discussed regarding this addition to the site catalogue. Usage information is only considered private information if one can identify the house that is using that energy. Meter number alone does not identify the house. However, if the meter number is in the site catalogue, then the site catalogue provides a link to the house that is using the electricity, thereby raising the privacy concerns for the SID transaction. The issue is that FortisAlberta stores usage information by meter number in United States and all information stored in the United States is potentially available to the American government. Consequently, FortisAlberta's legal department has concerns about providing the meter number in the site catalogue.
- Since FortisAlberta has concerns about publishing the meter number, the group discussed how best to handle this in Rule 021. Should some form of exception be written into the rule or should FortisAlberta be required to file an application for exemption? One of the retailers questioned the value of having meter number in the site catalogue of some of the parties and not all. However, eventually consensus was reached that some information was better than none.
- The AUC stated that its preference would be to require FortisAlberta file for an exemption rather than write an exception into Rule 021. A reason for this is that an application for exemption would require that FortisAlberta consider and present to the Commission alternatives for compliance to the rule and perhaps provide a plan for eventual compliance. The discussion concluded with the group agreeing that the rule should state that the meter number must go in the site catalogue unless the site is unmetered or does not have a meter yet.

**ACTION: The AUC to write, into the rule, that a conditional meter number field will be added to the SID transaction. The condition will be based upon whether the site has a meter or not.**

- Another decision that was made in the EEE subgroup is in regard to the energize-at-enrolment issue. When a site is enrolled because a customer is moving in, the retailer enrolling the site does not find out about the energization status until move-in day. If the site was cut off for nonpayment by the previous retailer or if the site was vacant and therefore cut off, the site will be de-energized upon move in, and an energize request may not take effect for a couple of days. This issue was originally assigned to the EEE subgroup and, at that subgroup's meeting, the issue was deemed not a big enough problem to warrant the cost of changes. The Rule 021 SSC Working Group accepted the recommendation.
- The EEE will be on hiatus until September 2011.

b. DropChute

- The group discussed progress on the DropChute replacement project. Thus far, a DropChute replacement has been selected and ARC is assisting the AUC in lab testing the product. The DropChute Replacement Working Group will meet in July to discuss market testing and implementation timelines for Q1 2012.

c. Transaction

- The transaction subgroup has been formally wrapped up. Changes to transactions decided upon in this meeting or future meetings will be managed by the larger Rule 021 SSC Working Group rather than by the transaction subgroup. The AUC thanked the transaction subgroup for all their hard work.

10. CONP update

- The AUC will be putting together a new rule before the next winter moratorium. The quick timeline will have the AUC put together a steering committee meeting and a working group. The AUC is envisioning that the timeline will be very condensed (two-day meetings every two weeks). This working group may be coming to decisions that will impact Rule 021 version 2.2. In order to facilitate the CONP meetings, the AUC asked distributors to provide the number of de-energize requests they completed for reason of nonpayment over the past five years.

**ACTION: The AUC is requesting, for the CONP working group, that distributors provide a five-year history of DECs for reason of nonpayment. Also, the AUC requested that distributors provide the number of DEFs issued over the five years with a reason code of load limiter installed.**

11. Version 2.1 implementation issues

- For those changes implemented on June 26, 2011, no implementation issues have been experienced so far.
- A suggestion was made that it may be a good idea to do market testing in order to avoid possible problems for the November 1 changes. The group agreed.

**ACTION: AUC to host a teleconference about test scenarios and test plans, with each entity to send a project test coordinator to the AUC.**

12. Version 2.2 schedule

- A draft version of Rule 021 version 2.2 will be released for comment in October. Included in this draft will be the accepted subgroup recommendations, DropChute replacement, PFAM wording changes and required CONP recommendations. Changes to the rule to make it compliant with the AUC style guide will also be included (see Rule 028 for examples of this).