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## **Amended Rule 006: Rules on Regulatory Audits**

On July 28, 2020, the Alberta Utilities Commission approved an amended [Rule 006: Rules on Regulatory Audits](#). This amended rule, with links to related information, can be found on the AUC website, under Decisions and documents, and [Rules](#). The effective date of the amended Rule 006 is August 3, 2020.

The Commission originally approved Rule 006 on January 2, 2008, at a time when the organization included an audit and compliance group. Since then, changes have been made to the organization and references to this group are no longer applicable. The Commission chose to modernize the previous version of the rule to update the language of the rule, to correct references to account for current audit standards, and to reflect the new responsibilities of the Commission, for example to include references to the code of conduct, specified penalties and the *Utility Payment Deferral Program Act*. Further, Section 11 of the amended rule permits the Commission to direct recovery of any costs and expenses of an audit, pursuant to Section 76 of the *Alberta Utilities Commission Act*.

The amendments were considered to be minor, will facilitate streamlining opportunities, and were made without stakeholder consultation.

Please contact Fino Tiberi by telephone at 403-592-4410 or by email at [fino.tiberi@auc.ab.ca](mailto:fino.tiberi@auc.ab.ca) if you have any questions related to the amended Rule 006.

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