

Bulletin 2021-03

February 26, 2021

Amendments to AUC Rule 019

On February 24, 2021, the Alberta Utilities Commission approved amendments to Rule 019: *Specified Penalties for Contravention of ISO Rules*, with an effective date of March 1, 2021.

Background

On December 17, 2020, the AUC initiated a rule-review process in which it sought feedback from stakeholders on changes to Rule 019 as proposed by the Market Surveillance Administrator (MSA). The proposed changes involved having Rule 019 apply to all independent system operator (ISO) rules, collapsing the current three categories of contraventions into one category, and using the penalty escalation currently found in the Category 1 table to determine the penalty amount for subsequent contraventions of the same ISO rule.

Most stakeholders who provided comments were supportive of the MSA's proposed changes. However, some stakeholders believed that treating all ISO rule contraventions with the same severity and risk may not provide the proper guidance regarding compliance.

While the Commission approved the amendments as proposed by the MSA, the AUC sees merit in exploring with market participants and other stakeholders the need to conduct a comprehensive review of Rule 019. Considering the regulatory schedule in the next six months, including the consultations scheduled separately by the AUC and the AESO and the proceedings before the AUC, the AUC believes it best to not commence this review until the fourth quarter of calendar 2021.

This bulletin, the final approved Rule 019, along with the corresponding blackline version of the rule, and the AUC's responses to stakeholder's comments are posted in the [Rule-related consultations section](#) of the AUC website.

The AUC thanks stakeholders for their time and participation in the consultation process and for their efforts in reviewing and providing comments on the proposed changes to the rule. Any questions related to this bulletin should be directed to Fino Tiberi at fino.tiberi@auc.ab.ca.

Alberta Utilities Commission