

Rule 017

Procedures and Process for Development of ISO Rules and Filing of ISO Rules with the Alberta Utilities Commission

This rule, as amended, was approved by the Alberta Utilities Commission on October 20, 2020, and is effective on November 6, 2020.

Contents

Part 1: General matters.....	2
1 Definitions.....	2
Part 2: Procedures and process for development of proposed rules and application for Commission approval	2
2 Definitions.....	2
3 Application.....	2
4 Notice for development of proposed rules.....	2
5 Consultation for development of proposed rules	3
6 Application for Commission approval of proposed rules	3
Part 3: Application for Commission approval of expedited rules.....	3
7 Definitions.....	3
8 Expedited rule applications.....	3
Part 4: Procedures and process for development of administrative amendments to ISO rules and application for Commission approval.....	4
9 Definitions.....	4
10 Notice of administrative amendment to ISO Rules	4
11 Consultation for development of proposed administrative amendments.....	5
12 Application for Commission approval of proposed administrative amendments.....	5

Part 1: General matters

1 Definitions

1.1 The definitions from the *Electric Utilities Act* apply to these rules.

1.2 In these rules:

- (a) “ISO” means the Independent System Operator.
- (b) “ISO rule” means a rule made by the ISO under its authority in the *Electric Utilities Act*.

Part 2: Procedures and process for development of proposed rules and application for Commission approval

2 Definitions

2.1 In Part 2:

- (a) “proposed rule” means a proposed new ISO rule and a proposed amendment to an ISO rule other than an administrative amendment, which includes a proposed removal of an ISO rule or a renewal of an ISO rule that has a fixed term.

3 Application

3.1 Part 2 does not apply to administrative amendments to ISO rules as defined in Part 4.

4 Notice for development of proposed rules

4.1 When the ISO identifies an issue, or receives a proposal pursuant to its process under Section 20.81 of the *Electric Utilities Act*, that, in the opinion of the ISO, requires the development of a proposed rule, the ISO will issue written notice prior to developing a proposed rule, post the notice on the ISO’s website and establish a process for consultation on the proposed rule.

4.2 The notice must set out:

- (a) The issue that a proposed rule is intended to address;
- (b) A description of the potential objective or purpose of the proposed rule; and
- (c) The manner in which the Market Surveillance Administrator, market participants, and other interested parties may meaningfully participate in the consultation.

4.3 When the notice is posted on the ISO’s website, the ISO must also post:

- (a) A draft version of the proposed rule, if applicable; and

- (b) A copy of any data, analyses or other material that the ISO considers to be relevant to the need for, the development of, removal of, or renewal of the proposed rule.

5 Consultation for development of proposed rules

- 5.1 The Market Surveillance Administrator, market participants, and interested parties may submit written comments on the ISO's proposed rule in accordance with the consultation process established by the ISO in Section 4.1.
- 5.2 All submissions received by the ISO pursuant to Section 5.1 will be posted on the ISO's website.
- 5.3 The ISO will provide written responses to the submissions received pursuant to Section 5.2 and post those responses on the ISO's website.

6 Application for Commission approval of proposed rules

- 6.1 Applications for Commission approval of a proposed rule are required under Section 20.2 of the *Electric Utilities Act*.
- 6.2 On completion of the consulting process, the ISO may proceed to make an application to the Commission under Section 6.

Part 3: Application for Commission approval of expedited rules

7 Definitions

- 7.1 In Part 3:
 - (a) "expedited ISO rule" means a proposed rule for which the Commission has approved expedited implementation under Section 20.6 of the *Electric Utilities Act*.
 - (b) "proposed rule" has the meaning given to it in Part 2 of this rule.

8 Expedited rule applications

- 8.1 Applications for Commission approval for expedited implementation of a proposed rule are required under Section 20.6 of the *Electric Utilities Act*.
- 8.2 When the ISO makes an application to the Commission for expedited implementation of a proposed rule, it will issue written notice, and post the notice on the ISO's website.
- 8.3 If the Commission approves expedited implementation of a proposed rule, the ISO will post the expedited ISO rule on the ISO's website on the day upon which the expedited implementation is approved by the Commission.

Part 4: Procedures and process for development of administrative amendments to ISO rules and application for Commission approval

9 Definitions

9.1 In Part 4:

- (a) “administrative amendment” means an amendment to an existing ISO rule that:
 - (i) Corrects typographical errors, corrects or makes address or name changes, corrects or updates cross references, updates drafting style to align with current ISO drafting principles, or clarifies the language of the ISO rule without changing its effect;
 - (ii) Adopts or incorporates by reference, without material change, legislative statutes or regulations;
 - (iii) Adopts or amends content explicitly and specifically dictated by legislative statutes or regulations; or
 - (iv) Removes a provision of the ISO rule because it is no longer required.

10 Notice of administrative amendment to ISO Rules

10.1 When the ISO identifies an issue, that in the opinion of the ISO, requires an administrative amendment to an ISO rule, the ISO will issue a notice of the proposed administrative amendment, post the notice on the ISO’s website and establish a process for consultation on the proposed administrative amendment to the ISO rule.

10.2 The notice must set out:

- (a) The issue that the proposed administrative amendment is intended to address;
- (b) A description of the potential objective or purpose of the proposed administrative amendment; and
- (c) The manner in which the Market Surveillance Administrator, market participants, and other interested parties may meaningfully participate in the consultation.

10.3 When the notice is posted on the ISO’s website, the ISO must also post:

- (a) A description of the purpose of the proposed administrative amendment and a blackline copy of any relevant ISO rules identifying the proposed administrative amendments; and
- (b) A copy of any data, analyses or other material that the ISO considers to be relevant to the need for the proposed administrative amendment.

11 Consultation for development of proposed administrative amendments

- 11.1 The Market Surveillance Administrator, market participants, and interested parties may submit written comments on the ISO's proposed administrative amendments in accordance with the ISO's consultation process in Section 10.1.
- 11.2 All submissions received by the ISO pursuant to Section 11.1 will be posted on the ISO's website.
- 11.3 The ISO will provide written responses to the submissions received pursuant to Section 11.2 and post those responses on the ISO's website.

12 Application for Commission approval of proposed administrative amendments

- 12.1 The ISO may apply to the Commission for approval of the proposed administrative amendment if:
 - (a) No written comments opposing the proposed administrative amendment are submitted to the ISO.
 - (b) All written comments opposing the proposed administrative amendments are withdrawn by the parties that submitted them or are accepted and addressed by the ISO.
- 12.2 If:
 - (a) No written comments opposing the proposed administrative amendment were submitted to the ISO; or
 - (b) All written comments opposing the proposed administrative amendments were withdrawn by the parties that submitted them or were accepted and addressed by the ISO.

the Commission shall consider and make an order with respect to the proposed administrative amendments to the ISO rule in the same manner as it would consider and make an order for an expedited ISO rule pursuant to Section 20.6 of the *Electric Utilities Act*.

- 12.3 If the Commission approves implementation of an administrative amendment to an ISO rule, the ISO will post the amended ISO rule on the ISO's website on the day upon which the administrative amendment is approved by the Commission.